Frankenthaler Climate Initiative

2024 Grant Cycle
Information Session

February 15, 2024

Welcome

James M. Thomas

Deputy Director
Helen Frankenthaler Foundation

Our Team

Helen Frankenthaler Foundation

Environment & Culture Partners

RMI

Elizabeth Smith
Executive Director

James M. Thomas
Deputy Director

Robert Benson
Manager of Administration

Sarah Sutton
CEO

Stephanie Shapiro
Managing Director

Danielle Sakowski
Program Manager

Victor Olgyay
Principal

Tracy Huynh
Senior Associate

Foremost private national grant-making initiative in the US dedicated to climate change action within the visual arts sector

Established in 2021 by the Helen Frankenthaler Foundation in collaboration with Environment & Culture Partners and RMI

Demonstrates the Helen Frankenthaler Foundation's commitment to environmental stewardship, and the visual arts and arts education

$10 Million over 5 years.
175 Projects and Counting.

2024 Grant Cycle Overview

Stephanie Shapiro

Managing Director
Environment & Culture Partners

Housekeeping

- ✓ Use the **Q&A function** to ask questions.
- ✓ We will hold a Q&A session at the end.
- ✓ This recording and slides will be posted on the FCI website this week.

Step 1: Explore Grant Cycle Resources

Step 2: Assess Eligibility

Step 3: Review Grant Categories

Step 4: Complete Application

Step 1: Explore Grant Cycle Resources

2024 Grant Cycle Resources

All Resources pertaining to the Grant Cycle are available on the website
FrankenthalerClimateInitiative.org

Eligibility Guidelines

- Organization and Project Eligibility
- Grant Category Descriptions
- Sample Applications
  - Catalyst/Scoping/Technical Assistance
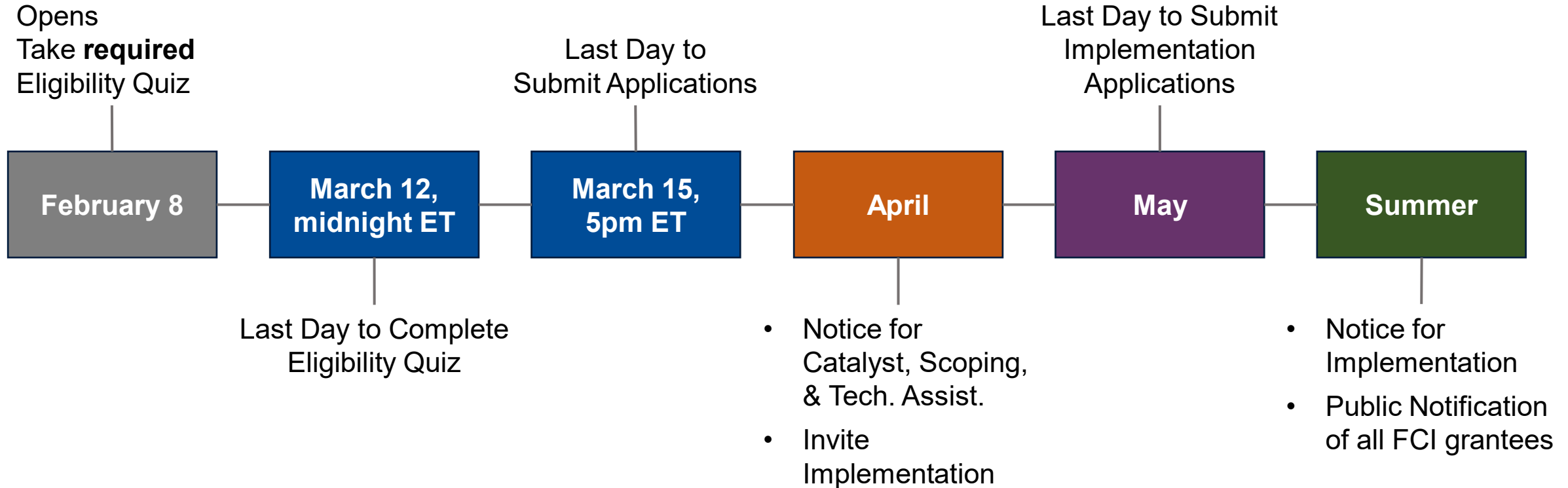 - Implementation

Eligibility Quiz **(required)**

Frequently Asked Questions

Webinar Recording and Slides (coming soon)

FCI Team: info@frankenthalerclimateinitiative.org

2024 Application Cycle

- Application Portal Opens
- Take **required** Eligibility Quiz

Last Day to Submit Applications

Last Day to Submit Implementation Applications

| February 8 | March 12, midnight ET | March 15, 5pm ET | April | May | Summer |

Last Day to Complete Eligibility Quiz

- Notice for Catalyst, Scoping, & Tech. Assist.
- Invite Implementation

- Notice for Implementation
- Public Notification of all FCI grantees

Step 2: Assess Eligibility

FCI Eligibility is determined through two categories: *Organization* and *Project*.

The **Eligibility Quiz** will help you determine if your Organization is eligible.

Eligible Previous FCI Recipients

Have **not** received a grant for **all three** previous grant cycles, 2021–2023.

Are in good reporting standing.

May only apply for: **Same** project, different grant category OR Different project, **same** grant category.

Eligible Organizations

Are physically located in the U.S. or its territories.

Nonprofit 501(c)(3) organizations or demonstrate a relationship with a vetted fiscal sponsor.

Can demonstrate that visual arts are the primary focus of the organization's mission and activities.

Must be able to accurately and reliably track their energy use on a monthly basis using Energy Star® Portfolio Manager® (ESPM) to fulfill the reporting requirement.

Examples of Eligible Organizations Types

Museums, Nonprofit Galleries, Archives, and Institutions whose primary mission & focus involves visual art

- Art museums; collecting and non-collecting institutions focused on visual art
- Not-for profit Art Gallery or Art Space
- Archives (primary focus on visual art or related field)

- Historical Societies, History Museums, Historic Houses whose primary area of collection or preservation involves visual art or art-related fields.

Arts Education, Higher Education, and the Study of Art

- Art Schools: independent or affiliated with an accredited higher ed institution
- Artist Residency Programs
- Art Education Centers

- University Museums or Galleries or Arts-Focused Department/Division
- Centers for the Study of Art (Art History, Visual Studies, Curatorial Studies, etc.)

Community and cultural organizations whose mission and focus includes an emphasis on visual art

- Community Art Center or Cultural Arts Organization
- Public Art Organization

- Arts Education Center (K-12, Community Education and/or Outreach)

Artist-Endowed Foundations

NEW Arts Events that can monitor and track their energy consumption/energy generation.

- Biennial/Triennial, or similar Exhibition-focused Entity
- Multi-institutional collaborations
- Temporary exhibitions

- Large-scale public art exhibitions
- Traveling exhibition structures

Ineligible Organizations

Individuals.

Organizations that have received a grant for all three previous grant cycles, 2021–2023.

For-profit organizations, including commercial galleries, architectural/design firms.

Performing arts organizations (e.g., theaters, ballet, opera houses, symphonies, or other performing arts centers whose primary focus is not visual art).

Organizations **without** a visual arts as a primary focus: Aquaria, Arboretums, Botanical Gardens, Children's Museums, Nature Centers, Libraries, Natural History and Science Museums, Zoos and Zoological Societies.

Eligible Projects

Generate clean energy

Increase energy efficiency

Can track energy use using EnergyStar® PortfolioManager®

Examples

- **Clean energy generation** (solar panel Installation, geothermal, transitioning away from fossil fuel-based heating);

- **Energy efficiency improvements and building envelope upgrades** (installing LED lighting systems, replacing windows, adding insulation, upgrading HVAC, installing a new Building Management System);

- **Innovative building designs (and redesigns) that lower energy demand** (designing or modifying spaces to minimize energy requirements, incorporating efficient design principles);

- **Feasibility assessments and energy efficiency audits** to identify opportunities for improvement or adjustment to existing institutional practices; and **sustainability measures related to collections management**.

Ineligible Projects

Projects that are focused on anything other than energy such as:

• Landscape

• Water

• Waste

• Materials

• Transportation

Step 3: Review Grant Categories

Grant Categories

NEW

	Maximum award	Project Ends By	Description
Catalyst	$15,000	Oct. 31, 2024	**Complete a stand-alone, limited component project** as an initial energy efficiency activity. Award can include up to 5 hours of in-project coaching.
Scoping	$25,000	Oct. 31, 2025	**Hire the expertise to for an assessment** to understand your energy efficiency needs and clean energy options with an independent environmental engineer, building science specialist (BSS), or sustainability consultant.
Technical Assistance	$50,000	Oct. 31, 2025	**Supports the transition from known need to specific project that is financeable/fundable** for advanced assessments or technical specifications, where an initial comprehensive evaluation may have been completed.
Implementation	$100,000	Jul. 30, 2026	**Allows you to get started on a project which** directly addresses your institution's climate impact when you have achieved widespread stakeholder and collaborator buy-in and align to organizational climate goals.

Catalyst Grants

Description	**Complete a stand-alone, limited component project** as an initial energy efficiency activity. Award can include up to 5 hours of in-project coaching.
Application	• 1 Round; abbreviated application. • Projects must be completed by **October 31, 2024** (within 6 months of award).
Award	• **$15,000 maximum**. No financial match required. Plus, up to 5 in-project coaching hours.
Reporting	• Project Completion Report: A Brief Narrative • 6-month post-project completion Report: Brief Narrative and Energy Star® Portfolio Manager® Progress & Goals Report
Examples	• Completing a gallery installation of LED lighting. • Fabricating and installing interior storm windows or insulation for a small historic property. • Installing an electric meter on a building, or a submeter on a system to improve energy tracking. • Funding an energy audit when documentation shows local utilities will not provide the service for free.

Scoping Grants

Description

Hire expertise to help you understand your energy efficiency needs and clean energy options by undertaking an assessment with an independent environmental engineer, building science specialist (BSS), or sustainability consultant. These grants benefit applicants who are getting started in reducing their emissions footprint, reducing their reliance on fossil fuels, and identifying tangible changes to their current practices

Application

- 1 Round.
- Projects must be completed by **October 31, 2025** (within 18 months of award).

Award

- **$25,000 maximum**. No financial match required.

Reporting

- Baseline Report.
- Project Completion Report.
- 12-month post-project completion Report.

Examples

- Energy assessment of existing facility, with recommendations for improvements (ASHRAE level I, or level II audit).
- Master Planning evaluation concluding in a document that includes efficiency improvement coordinated with planned facility maintenance.
- Life cycle analysis of mechanical equipment upgrades to electrify equipment cost effectively.

Swiss Institute
New York, NY

Energy Efficiency and Sustainability Assessment

- Carbon Calculator | Gallery Climate Coalition

- Institute as Ecosystem: "Our Evolving Ecology"

- *Spora* as both exhibition and intervention

Technical Assistance Grants

Description	Funds advanced assessments or technical specifications, where an initial comprehensive evaluation may have been completed (e.g., site-wide master planning document). Proposal might include providing designs and specifications or engaging an energy services company (ESCOs) skilled at both project design and 3rd party financing. These grants support more complex studies, specifications or testing necessary for pursuing major implementation projects. At the conclusion of a Technical Assistance grant, the applicant should be well enough informed to implement a project.
Application	• 1 Round. • Projects must be completed by **October 31, 2025** (within 18 months of award).
Award	• **$50,000 maximum**. No financial match required.
Reporting	• Baseline Report. • Project Completion Report. • 12-month post-project completion Report.
Examples	• Geothermal feasibility study. • Master Equipment Plan. • Window replacement assessment. • Design a new Building Automation System (BAS) or Building Management System (BMS). • Conduct building or systems commissioning assessment.

The future is here

Brand-new workshops, classrooms, and community spaces open fall 2024 >

Courtesy of Studio Gang and Kilograph.

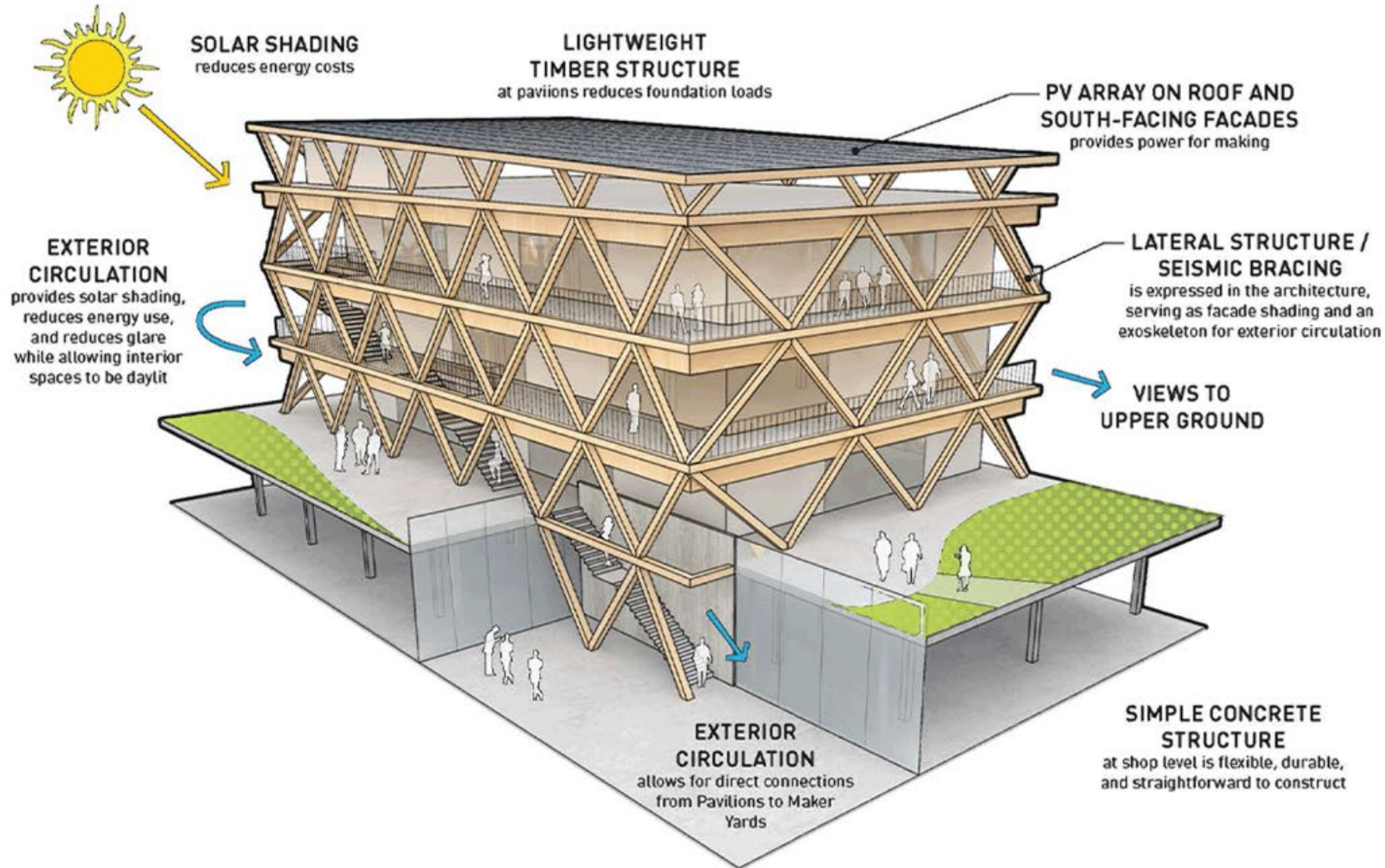**California College of the Arts (CCA)**
San Francisco, CA

Designing a Microgrid for Renewable Power and Storage Infrastructure

- Engineering design for rooftop solar, battery energy storage systems, and microgrid controller technology
- Maximize rooftop solar production at new CCA campus
- Economic, sustainability, and resiliency goals

CCa
California College of the Arts

Structure & Facade

SOLAR SHADING
reduces energy costs

LIGHTWEIGHT TIMBER STRUCTURE
at paviions reduces foundation loads

PV ARRAY ON ROOF AND SOUTH-FACING FACADES
provides power for making

EXTERIOR CIRCULATION
provides solar shading, reduces energy use, and reduces glare while allowing interior spaces to be daylit

LATERAL STRUCTURE / SEISMIC BRACING
is expressed in the architecture, serving as facade shading and an exoskeleton for exterior circulation

VIEWS TO UPPER GROUND

EXTERIOR CIRCULATION
allows for direct connections from Pavilions to Maker Yards

SIMPLE CONCRETE STRUCTURE
at shop level is flexible, durable, and straightforward to construct

CALIFORNIA COLLEGE OF THE ARTS   STUDIO / GANG / ARCHITECTS   SCHEMATIC DESIGN / MARCH 2018

Rendering by Studio Gang.

Photo: California College of the Arts

Implementation Grants

Description	**Allows you to get started on a project.** These are ideal for organizations who have achieved wide-scale "buy-in" support for sustainable measures, and help to realize ambitious, innovative, and transformative projects that directly address institutional climate impact. They are highly competitive, involve multiple stakeholders and collaborators, and may include matches for larger capital projects and strategic campaigns.
Application	• 2 Rounds. Round 1 requires an Energy Star® Portfolio Manager® (ESPM) Progress & Goals Report, indicating energy use for 2023 calendar year. • Projects must be completed by **July 30, 2026** (within 24 months of award).
Award	• **$100,000 maximum**. No financial match is required.
Reporting	• Mid-Point Report. • Project Completion Report. • 12-month post-project completion Report.
Examples	• Install solar energy system. • Replace a chiller. • Contribute to sealing the building envelope. • Purchase and install a heat pump. • Purchase and install a BAS or BMS system.

NEW

Taft Museum of Art
Cincinnati, OH

Historic Preservation, Environmental Control:

Taft's *Bicentennial Infrastructure Project*

- *Infrastructure and Capacity Building Challenge Grant* (NEH)

- National Register of Historic Places

- Restoration: Duncanson Foyer and Murals

taft
MUSEUM OF ART

Step 4: Apply

Take the Eligibility Quiz

Complete the Application

Application Process

Take the Eligibility Quiz by **Tuesday, March 12, midnight ET**

If eligible, instructions for registering to apply will be emailed to you within 3 business days

Credentials to apply will be emailed within 1 business day of registration submission

Application

- Only 1 application per organization.

- Multiple institutions under the same umbrella organization may apply.

- Character limits (including spaces) are included.

Sections

- Section 1: Contact Information

- Section 2: Organization Profile, Mission, Engagement with Sustainability/Climate Action

- Section 3: Project Information

- Section 4: Impact and Outreach

- Section 5: Project Team, Key Stakeholders

- Section 6: Budget and Budget Narrative

- Section 7: Commitment to Reporting [checkbox]

- Section 8: Coaching [checkbox]

- Section 9: Additional Materials

Characteristics of a Successful Application

Demonstrated need

Reasonable Scope

Feasible per available Resources: Proposed Timeline, Project Team, and Requested Funding

Clear goals and Anticipated Results

Significant potential impact

Includes Substantiative project documentation

Section 3: Project Information (Implementation Only)

Provide a list of the proposed project's key phases, milestones. (6000 characters, ~1000 words maximum). Below is an example of where to start.

The Art School has contracted with the Architecture Firm and Mechanical Engineering Inc. to install 6 triple-glazed windows on the first floor of the museum. The Architecture Firm and Mechanical Engineering Inc. designed the project in our previous consultation and with findings from an earlier energy audit.

Phase 1: Purchasing and Preparation (Jul 31–Aug 30): Mechanical Engineering purchases and receives windows. Work will only begin after the windows have been received and Mechanical Engineering has confirmed the order is correct [include a materials purchase list for your proposal].

The facilities staff will close the first floor of the gallery and secure any entryways to prevent significant air or moisture impact on the rest of the interior building.

Phase 2: Installation (Sept 1–7): Mechanical Engineering and the Architecture Firm will begin window removal and installation within three business days. The Second Use cooperative has agreed to accept (and collect) the original windows for resale at their architectural reuse site downtown….

Section 4: Impact and Outreach

Impact: Describe the anticipated results and outcomes from the project (installations, services, reports, recommendations, purchases, emissions avoided, electricity generated, money savings). (1500 characters, ~250 words).

- ✓ The more specific you can be about your understanding of current energy use and energy opportunities, the stronger your application will be.

- ✓ Provide the best data available on the amount and kind of energy you use will strengthen your proposal.

- ✓ For new buildings: Use a design-build estimate.

This project will provide an **expected x% reduction in energy costs** and a x**% reduction in GHG emissions**. It's estimated that with these expected savings along with those from recent work will allow us to provide 40% of the funds needed for a solar array in the next six years. These estimates are already proving to be appealing to environmentally and financially motivated funders and donors.

Section 5: Project Team, Key Stakeholders

Key Personnel: List all key stakeholders, both internal and external, who will ensure the proposed project's success. Explain the roles and expertise of those involved in this project, naming contractors and external consultants if known. Explain why they are qualified to do this work. (1500 characters, ~250 words).

- ✓ List all team members, their title and role, and their company/organization.
- ✓ Explain how decisions were made to select contractors/consultants.
- ✓ Explain how and why team members are qualified and identify is accountable for the project's completion.
- ✓ Upload Resumes in the *Additional Documents* section,

Section 6: Budget Summary & Narrative

Overhead should not be included as a FCI expense.

Budget Summary

Enter amounts for each expense category, indicating whether the costs are covered by the FCI award or another funder. **Optional:**

- Optional: Upload a more detailed budget in addition to completing this form.

Project Expense	Frankenthaler Income	Additional Income
Professional Fees		
Supervision		
Permits		
Purchases (Materials & Equipment)		
Construction		
Other 1		
Other 2		
Other 3		

Budget Narrative

Provide a detailed breakdown of the project cost estimate.

- ✓ How may FCI funding help your organization become eligible or more competitive for other climate project support (e.g., particular incentives or local/state government funding)?
- ✓ Describe how you determined the costs associated with the project.
- ✓ Upload copies of bids and designs in the Documents section.

Section 7: Commitment to Reporting

The goal of reporting is to establish and reinforce energy monitoring even if the award cannot yet be tracked as reducing use and emissions.

✓ Previous grantees must be in good standing to submit a 2024 application.

Reports	Catalyst	Scoping and Tech. Assist.	Implementation
Baseline		✓	*
Project Mid-Point			✓
Project Completion	✓	✓	✓
Post-Project Completion Report	6 months	12 months	12 months

NEW

*Submit baseline as part of the Application's 1st Round

Section 7: Commitment to Reporting

Previous grantees must be in good standing to submit a 2024 application.

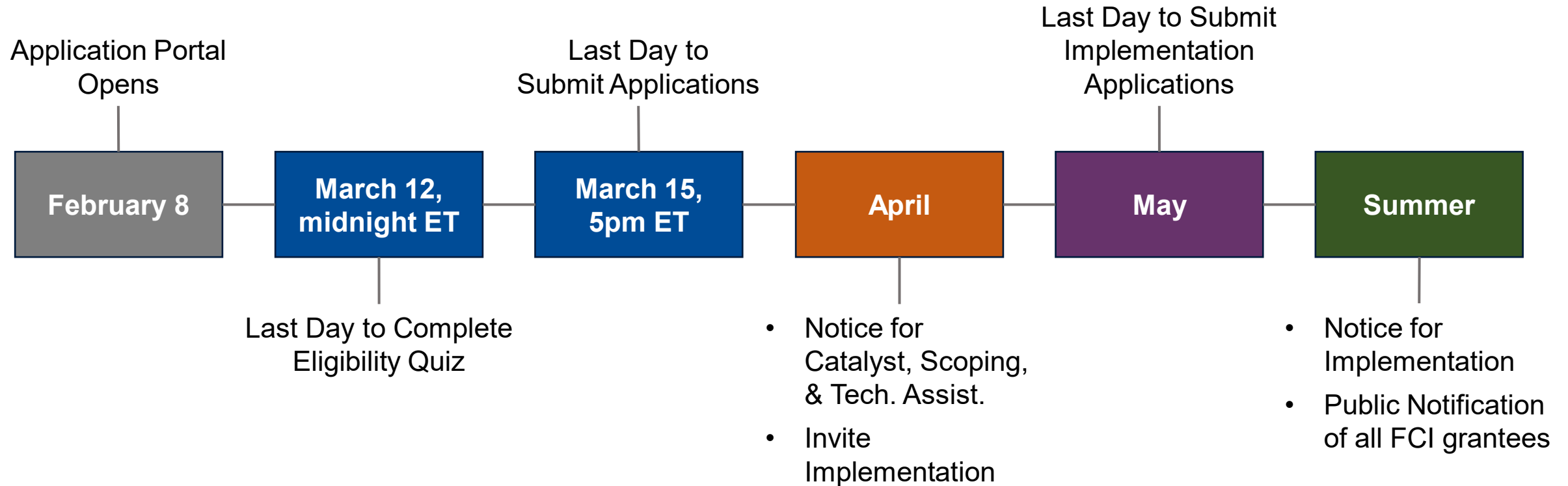| Reports	Description
Baseline	✓ Energy Star® Portfolio Manager® (ESPM) Progress & Goals Report will be provided for 2023 calendar year. ✓ Climate Impact Goals, if applicable.
Project Mid-Point	✓ Narrative including details about financial expenditures if the project timeline is greater than 6 (six) months. ✓ Progress photos, if applicable.
Project Completion	✓ Narrative including details about financial expenditures. ✓ Project deliverables (e.g., report) ✓ Photos.
12*-month Post-Project Completion Report	✓ Narrative ✓ Energy Star® Portfolio Manager® (ESPM) Progress & Goals Report for 12-month period after project completion. *For Catalyst Grantees, this is 6.

Section 8: Coaching **NEW**

- **Catalyst:** Up to 5 hours included in award

- **Scoping, Technical Assistance, Implementation:** checkbox if interested

Section 9: Documents

- ✓ If you are a federally-recognized 501c3, please upload your **organization's 990** OR if you are not a federally-recognized 501c3, please upload a **short description of your relationship to your fiscal sponsor** and list any key contact personnel who can provide sponsor financial information.

- ✓ Provide **supporting documentation** to clarify and affirm the efficacy and utility of the project's proposal. **This includes cost estimates, drawings, and technical documents.**

- ✓ At least **3 but up to 7 Images** of your institution and/or any project-related images approved for press. Images must be high-resolution 300 dpi format.

- ✓ **Image captions and photo credits** for each submitted Image.

- ✓ **(Implementation Only): ESPM Progress & Goals report** for existing building or build-design estimate if part of a new build for calendar year 2023.

- ✓ Detailed Budget (Optional)

- ✓ Key Project Team Member Resumes (Optional but encouraged)

2024 Grant Cycle Resources

All Resources pertaining to the Grant Cycle are available on the website
FrankenthalerClimateInitiative.org

Eligibility Guidelines

- Organization and Project Eligibility
- Grant Category Descriptions
- Sample Applications
  - Catalyst/Scoping/Technical Assistance
  - Implementation

Eligibility Quiz

Frequently Asked Questions

Webinar Recording and Slides (coming soon)

FCI Team: info@frankenthalerclimateinitiative.org

Q&A

Frankenthaler Climate Initiative